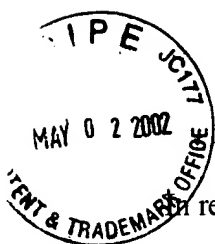


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7-2-02

01263.001726

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Alistair William MCLEAN, et al.

Application No.: 09/986,814

Filed: November 13, 2001

For: FILTER BASED AUTHORIZING TOOL

)
: Examiner: Unassigned

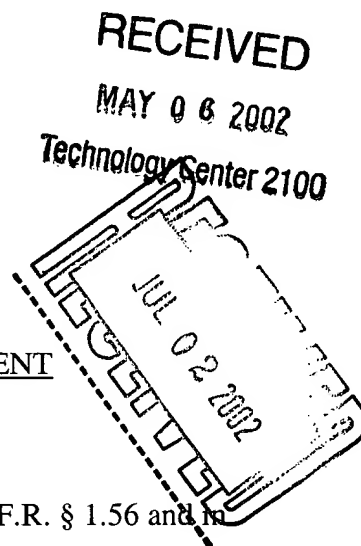
)
: Group Art Unit: 2182

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May 2, 2002

Commissioner for Patents
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of each of the listed documents are also enclosed.

U.S. Patent Nos. 5,841,437 and 5,913,038, listed in the enclosed Form PTO-1449, are cited in the specification at page 1 and are relevant for the reasons noted therein. GB 2 247 597A is cited in the specification at page 2 and is relevant for the reasons noted therein.

The Examiner's attention is also directed to the following U.S. Application:

<u>Application Number</u>	<u>Filing Date</u>	<u>Group Art Unit</u>	<u>Status</u>
09/986,813	November 13, 2001	2182	Pending

In accordance with 37 CFR 1.98(a)(2)(iii), a copy of the cited U.S. application is enclosed.

FORMAL MATTERS


In accordance with 37 C.F.R. § 1.97(b), since a first Official Action on the merits of the subject application has not yet been issued, neither a certificate, petition nor payment of a fee is believed to be required for this Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,



Attorney for Applicants

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MEK/tmc

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
01263.001726APPLICATION NO.
09/986,814APPLICANT
Alistair William MCLEAN, et al.FILING DATE
November 13, 2001GROUP
2182LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

May 2, 2002

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,841,437	11/24/1998	Fishkin, et al.	345	346	
	5,913,038	06/15/1999	Griffiths	395	200.61	

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MAY 06 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
EP 1054391 A2	11/22/2000	Europe			In English
GB 2 247 597A	03/04/1992	United Kingdom			In English
UK 0027685.7	11/13/2000	United Kingdom			In English

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	Wischy, M.A., et al., "EIP Universal Plub & Play Gateway," EIB Event 2000, Scientific Conference and Technology Workshop, October 4-5, 2000, München, Germany.
	Bennett, W.E., et al., "Transformations on a Dialog Tree: Rule-Based Mapping of Content to Style," Proceedings of the 2nd Annual Symposium on User Interface Software and Technology, UIST 1989.
	Szekely, P., "Template-Based Mapping of Application Data to Interactive Displays," Proceedings of the 3rd Annual Symposium on User Interface Software and Technology, UIST 1990.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 1 of 1